

Employee Benefit Plan Services

Urish Popeck's benefit plan capabilities make us an excellent alternative for companies who are seeking audit services independent of their primary corporate auditor

www.urishpopeck.com

Urish Popeck is a member of the



What are the Services?

Urish Popeck's professionals provide audit, consulting and tax services for employee benefit plan sponsors and administrators subject to ERISA requirements. We also provide the same services to non-ERISA plans such as governmental plans and certain non-qualified plans.

Because of our firm's capabilities and the supporting resources of BDO, we are an excellent alternative for large plan sponsors where we are not the sponsor's primary auditor. Because of the audit committee pre-approvals required by Sarbanes-Oxley, many sponsors are choosing a second auditor to audit their plan.

Efficient and Effective Audits

Through our experience, training, and utilization of the nationally recognized audit manual developed by our Alliance partner, BDO, we can deliver a cost-efficient approach that minimizes the cost of our services.

Our audit engagements are carefully planned and coordinated with management and the designated staff. Unlike normal financial statement audits, efficient and effective audits of employee benefit plans require the coordination of audit, tax, and benefit consultants to accurately and thoroughly address the many issues unrelated to auditing or accounting that arise. Engagements are staffed by a coordinated team of professionals who have successfully faced these types of issues.

For our defined contribution and defined benefit plan audits, our assurance professionals employ planning procedures and guidance that includes: determining the specific audit requirements of the individual plan; selecting sampling strategies and designing acceptable samples for all testing areas; assessing the level of audit risk and determining materiality, and; identifying plan internal controls and ascertaining confidence levels.

Consulting Services

Our benefit plan practice includes experienced consultants with both legal and plan administrative backgrounds. There is virtually no aspect of employee benefits law, whether tax or ERISA related, that our veteran consultants have not applied for clients. Further, they tailor their large plan consulting experience to assist the small and mid-market plan stakeholder.

A partial list of areas of experience encompasses: advanced plan design; compliance examination, review, and correction programs; ESOPs; fiduciary advice for Directors and Officers; stock-based compensation programs; 401(k), 403(b), 412(i) and 457 plans; multi-employer (Taft-Hartley) plans; ERISA and fiduciary custom training for plan sponsors, TPAs, brokers, etc.

Form 5500 Services

Services include: preparation of Form 5500; review of prepared Form 5500; independent audit of Form 5500; compliance testing; and lit support.

Depth and Technical Strength

Our Alliance partner BDO USA is distinct among national CPA firms in emphasizing pension auditing, and having partners, managers, and staff who concentrate in auditing plans.

Our professionals participate in and present at national benefit plan conferences and training seminars, and receive technical updates via our proprietary Extranet. The organization has 100s of benefit plan audit clients throughout the country, and performs more than 1000 pension audit engagements annually – several with assets over \$2 billion. We perform SAS 70 reports for more than 35 entities, including for one of the largest actuarial firms in the country. In short, our professionals have the technical support and resources to audit or consult for virtually any size plan.



URISH POPECK & CO., LLC

Accountants and Consultants