

# Financial Institution Services

***Urish Popeck provides financial institutions with the services they need to manage risk, increase revenues, minimize operating expenses, and enhance shareholder value.***

[www.urishpopeck.com](http://www.urishpopeck.com)



## Industry Overview

With today's intense scrutiny of financial institutions, more and more banks are seeking ways to strengthen corporate governance and meet ever-increasing regulatory requirements while accommodating the relationship needs of existing and prospective customers. Banks are also struggling with the challenge of keeping operating costs to a minimum while both expanding services and enhancing technology. Achieving these objectives requires significant time and manpower commitment, which distracts management from focusing on the day-to-day operations. Urish Popeck provides a variety of assurance, consulting, co-source, forensic, mortgage fraud, compliance, and outsource services to assist management with its varied and disparate objectives.

Our engagement teams are abreast of emerging issues impacting the banking industry. The national SEC department maintains a coordinated and cooperative approach in dealings with the CFTC, NFA, NASD, PCAOB, and the SEC, and the knowledge and experience of the SEC practice is readily available to our professionals.

We have experience in serving financial institutions of all sizes and types, with a focus on community and regional banks. Such institutions are likely publicly held, and can benefit from our significant SEC experience. These institutions generally are also acquisition minded and deal with more technical accounting and tax matters, a real strength of our organization.

## Service Offerings

### Assurance/Financial Recovery

- Anti-money Laundering
- Fraud Examinations
- Field Exams/LCRs
- Loan Loss Reserve Analysis
- Due Diligence Services
- Workout Services
- Forensic Services

### Internal Audit Services

- Risk Assessment and Audit Plan Development
- Full Internal Audit Outsourcing, Financial IT, and Internal Audit Co-sourcing
- Information Technology Audits
- Quality Assurance Reviews
- Fraud/Forensic Investigations

### Tax Services

- Corporate Tax Consulting
- Employee Compensation and Benefits
- State and Local Taxation
- ASC 740 (FAS 109) Consulting
- Cost Segregation, 179D Consulting
- Tax compliance

### Compliance Services

- Regulatory (PATRIOT; HIPAA; SAS 70; GLBA)
- SEC and Regulatory Reporting
- Federal and State Regulations
- SOX Readiness
- Corporate Governance Assessment
- HR compliance

### Technology Services

- Vulnerability Analysis and Testing
- Technology and Strategy Alignment
- Business continuity and disaster recovery planning
- Strategic Technology Planning
- Implementation
- Security and Architecture Assessment

### Business Process Enhancement

- Operational Performance Assessments
- Business Process Integration
- Project Mgmt. Office Reviews
- System Implementation Support Reviews
- Financial Analysis and Modeling
- Special Accounting Projects